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PTO/SB/05 (11-00)

Approved for use through 10/31/2002 OMB 0651 0032

U S Patent and Trademark Office, U S DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	P05122
First Inventor	Wipawan Yindeebol
Title	Trim Zener Using Double Poly Process
Express Mail Label No.	ET 703 204 086 US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. Applicant claims small entity status.
See 37 CFR 1.27.
3. Specification [Total Pages 16]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. Drawing(s) (35 U.S.C. 113) [Total Sheets 4]
5. Oath or Declaration [Total Pages 2]
 - a. Newly executed (original or copy)
 - b. Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)

i. **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)
6. Application Data Sheet See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. CD-ROM or CD-R (2 copies); or
 - ii. paper
 - c. Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. Assignment Papers (cover sheet & document(s))
10. 37 CFR 3.73(b) Statement Power of
(when there is an assignee) Attorney
11. English Translation Document *(if applicable)*
12. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS
Citations
13. Preliminary Amendment
14. Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. Request and Certification under 35 U.S.C. 122
(b)(2)(B)(i). Applicant must attach form PTO/SB/35
or its equivalent.
17. Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation Divisional Continuation-in-part (CIP)

of prior application No.:

Prior application information

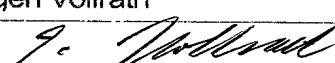
Examiner

Group Art Unit:

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

<input type="checkbox"/> Customer Number or Bar Code Label	<input type="checkbox"/> 	or <input type="checkbox"/> Correspondence address below	
Name	Jurgen Vollrath		
Address	1222 Settle Ave		
City	San Jose	State	CA
Country	USA	Telephone	408-813 2141
Fax			

Name (Print/Type)	Jurgen Vollrath	Registration No. (Attorney/Agent)	P-49,098
Signature		Date	01/08/2002

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FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision

TOTAL AMOUNT OF PAYMENT (\$ 1272)

Complete if Known

Application Number	
Filing Date	
First Named Inventor	Wipawan Yindeepol
Examiner Name	
Group Art Unit	
Attorney Docket No.	H(15122)

METHOD OF PAYMENT

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to

Deposit Account Number	
Deposit Account Name	

Charge Any Additional Fee Required Under 37 CFR 1.13 and 1.17

Applicant claims small entity status. See 37 CFR 1.27

2. Payment Enclosed:

Check Credit card Money Order Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Small Entity

Fee Code (\$)	Fee (\$)	Fee Code (\$)	Fee (\$)	Fee Description	Fee Paid
101	710	201	355	Utility filing fee	740
106	320	206	160	Design filing fee	
107	480	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	

SUBTOTAL (1) (\$)

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
24	-20** = 4	x 18	= 72
Independent Claims 8	3** = 5	x 84	= 420
Multiple Dependent			

Large Entity Small Entity

Fee Code (\$)	Fee (\$)	Fee Code (\$)	Fee Description
103	18	203	9
			Claims in excess of 20
102	80	202	40
			Independent claims in excess of 3
104	270	204	135
			Multiple dependent claim, if not paid
109	80	209	40
			** Reissue independent claims over original patent
110	18	210	9
			** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 492)

**or number previously paid, if greater; For Reissues see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

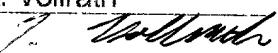
Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code (\$)	Fee (\$)	Fee Code (\$)	Fee (\$)
105	130	205	65
			Surcharge - late filing fee or oath
127	50	227	25
			Surcharge - late provisional filing fee or cover sheet
139	130	139	130
			Non-English specification
147	2,520	147	2,520
			For filing a request for ex parte reexamination
112	820*	112	820*
			Requesting publication of S.R prior to Examiner action
113	1,840*	113	1,840*
			Requesting publication of S.R after Examiner action
115	110	215	55
			Extension for reply within first month
116	390	216	195
			Extension for reply within second month
117	890	217	445
			Extension for reply within third month
118	1,390	218	695
			Extension for reply within fourth month
128	1,890	228	945
			Extension for reply within fifth month
119	310	219	155
			Notice of Appeal
120	310	220	155
			Filing a brief in support of an appeal
121	270	221	135
			Request for oral hearing
128	1,510	138	1,510
			Petition to Institute a public use proceeding
140	110	240	55
			Petition to revive - unavoidable
141	1,240	241	620
			Petition to revive - unintentional
142	1,240	242	620
			Utility issue fee (or reissue)
143	440	243	220
			Design issue fee
144	600	244	300
			Plant issue fee
122	130	122	130
			Petitions to the Commissioner
123	50	123	50
			Processing fee under 37 CFR 1.17(q)
126	180	126	180
			Submission of Information Disclosure Stmt
581	40	581	40
			Recording each patent assignment per property (times number of properties)
146	710	246	355
			Filing a submission after final rejection (37 CFR § 1.129(a))
149	710	249	355
			For each additional invention to be examined (37 CFR § 1.129(b))
179	710	279	355
			Request for Continued Examination (RCE)
169	900	169	900
			Request for expedited examination or a design application
Other fee (specify) _____			

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 40)

SUBMITTED BY

Complete if applicable

Name (Print/Type)	Jurgen K. Vollrath	Registration No. (Attorney/Agent)	P-49,098	Telephone	408-813 2141
Signature				Date	01/08/2002

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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**REQUEST AND CERTIFICATION
UNDER
35 U.S.C. 122(b)(2)(B)(i)**

First Named Inventor	Wipawan Yindeepol
Title	TRIM ZENER USING DOUBLE POLY PROCESS
Atty Docket Number	P05122

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

01/08/2002
Date



Signature

JURGEN VOLLRATH

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

Burden Hour Statement: This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO Assistant Commissioner for Patents, Washington, DC 20231.